

# GÉANT Data Protection Code of Conduct



- **Entity Category Specification**
- For Service Providers established in European Union, European Economic Area and countries with adequate data protection pursuant to Article 25.6 of the directive 95/46/EC
- 6 Version 1.0 Errata 1, 10 June 2014

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### Introduction

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- 13 The EU Data Protection Directive (95/46/EC) sets the legal framework for attribute release within the European
- 14 Union and European Economic Area. For more information on the requirements imposed, see Introduction to Data
- 15 protection directive [DirectiveIntroduction].
- 16 The Data Protection Code of Conduct sets out practices for meeting the requirements of the Data Protection
- 17 Directive. It defines a set of rules to be followed by Service Providers wishing to receive user attributes from the
- 18 Identity Providers managed by Home Organisations. It is expected that Home Organisations will be more willing to
- 19 release attributes to Service Providers asserting the conformance to the Data Protection Code of Conduct. For more
- information, see Introduction to Code of Conduct [CoCIntroduction].
- 21 This document defines a SAML 2.0 Entity Category attribute [SAML2EntityAttr] for Service Providers that claim
- 22 conformance to the Data Protection Code of Conduct.

# **Syntax**

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- 24 The following URI is used as the Attribute Value for the Entity Category attribute:
- 25 http://www.geant.net/uri/dataprotection-code-of-conduct/v1

## **Semantics**

- 27 By asserting membership in the Entity Category, a Service Provider claims
- that it is bound by
  - o the data protection laws in the European Union or European Economic Area, or
  - a third country's domestic data protection laws which have been recognised by the EC as ensuring an adequate level of protection [ECWhiteList], or
    - an international commitment into which a third country has entered and which has been recognised by the EC as ensuring an adequate level of protection [ECWhiteList], such as the US Safe harbour [USSafeHarbour]
  - that it has committed to the Data Protection Code of Conduct for Service Providers [CoCforSP], and
- that it conforms to the SAML 2 Profile for the Data Protection Code of Conduct [CoCSAML2]



### **Provenance**

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- When an Entity's registrar (normally the Entity's home federation) registers the Entity's assertion of membership in
- 39 the Entity Category it must perform at least the checks defined for a home federation operator in the Operator
- 40 guidelines document [CoCOperatorGuidelines].

# 41 Examples

# 49 Usage

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50 Enable Home Organisations / Identity Provider administrators to easily set up a global attribute filter policy for

Data protection Code of Conduct, "Data protection Code of Conduct Cookbook",

- 51 Service Providers committed to the Code of Conduct.
- 52 For related configuration examples, see
  - Code of Conduct Cookbook [CoCCookbook].
- InCommon's R&S category [InCommon].
- Shibboleth configuration instructions by SWAMID [SWAMID].

# References

[CoCCookbook]

| 58 |                         | <a href="https://wiki.edugain.org/Data_Protection_Code_of_Conduct_Cookbook">https://wiki.edugain.org/Data_Protection_Code_of_Conduct_Cookbook&gt;"&gt;</a>            |
|----|-------------------------|---|
| 59 | [CoCforSP]              | Data protection Code of Conduct, "Code of Conduct for Service Providers",   |
| 60 |                         | <a href="https://refeds.terena.org/index.php/Code_of_Conduct_for_Service_Providers">https://refeds.terena.org/index.php/Code_of_Conduct_for_Service_Providers&gt;</a> |
| 61 | [CoCIntroduction]       | Data protection Code of Conduct, "Introduction to Code of Conduct",   |
| 62 |                         | <a href="https://refeds.terena.org/index.php/Introduction_to_Code_of_Conduct">https://refeds.terena.org/index.php/Introduction_to_Code_of_Conduct</a>                 |
| 63 | [CoCOperatorGuidelines] | Data protection Code of Conduct, "Operator guidelines",   |
| 64 |                         | <a href="https://refeds.terena.org/index.php/Operator_guidelines">https://refeds.terena.org/index.php/Operator_guidelines</a>   |



| 65 | [CoCSAML2]              | Data protection Code of Conduct, "SAML2 profile for the Data Protection Code of Conduct", Version  |
|----|-------------------------|--|
| 66 |                         | 1.0, 14 June 2013  |
| 67 | [DirectiveIntroduction] | Data protection Code of Conduct, "Introduction to Data protection directive".  |
| 68 |                         | <a href="https://refeds.terena.org/index.php/Introduction_to_Data_protection_directive">https://refeds.terena.org/index.php/Introduction_to_Data_protection_directive&gt;</a>  |
| 69 | [ECWhiteList]           | European Commission, "Commission decisions on the adequacy of the protection of personal data in   |
| 70 |                         | third countries", <a href="http://ec.europa.eu/justice/data-protection/document/international-">http://ec.europa.eu/justice/data-protection/document/international-</a>  |
| 71 |                         | transfers/adequacy/index_en.htm>   |
| 72 | [InCommon]              | InCommon federation, "Configure a Shibboleth IdP to Support R and S",  |
| 73 |                         | <a href="https://spaces.internet2.edu/display/InCFederation/Configure+a+Shibboleth+IdP+to+Support+R+an">https://spaces.internet2.edu/display/InCFederation/Configure+a+Shibboleth+IdP+to+Support+R+an</a>  |
| 74 |                         | d+S>   |
| 75 | [SAML2EntityAttr]       | Young, I., Johansson, L., Cantor, S., "The Entity Category SAML Entity Metadata Attribute Types",  |
| 76 |                         | August 2012, <a href="http://macedir.org/draft-macedir-entity-category-00.txt">http://macedir.org/draft-macedir-entity-category-00.txt</a>   |
| 77 | [SWAMID]                | SWAMID, "GEANT Data Protection Code of Conduct",   |
| 78 |                         | <a href="https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct">https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Protection+Code+of+Conduct&gt;"&gt;https://wiki.swamid.se/display/SWAMID/GEANT+Data+Data+Data+Data+Data+Data+Data+Dat</a> |
| 79 | [USSafeHarbour]         | International Trade Administration, U.S. Department of Commerce, "Welcome to the U.SEU & U.S   |
| 80 |                         | Swiss Safe Harbor Frameworks", http://export.gov/safeharbor/   |
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# **Document History**

#### Changes from Version 1.0, 14 June 2013

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• Reformatted the Examples section to remove misleading white spaces from the <AttributeValue> element